

# Mathematical Foundations for Programming Languages

## Principles of Programming Languages

Colorado School of Mines

<https://lambda.mines.edu>

# Lambda Calculus

# The Lambda Calculus

The  $\lambda$ -calculus is a mathematical language of **lambda terms** bound by a set of transformation rules. The  $\lambda$ -calculus notation was introduced in the 1930s by Alonzo Church.

Just like programming languages, the  $\lambda$ -calculus has rules for what is a valid syntax:

*Variables:* A variable (such as  $x$ ) is valid term in the  $\lambda$ -calculus.

*Abstractions:* If  $t$  is a term and  $x$  is a variable, then the term  $(\lambda x.t)$  is a lambda abstraction.

*Applications:* If  $t$  and  $s$  are terms, then  $(ts)$  is the application term of  $t$  onto  $s$ .

# Anonymous Functions

Similar to how  $(\lambda x . t)$  defines an anonymous function in Haskell, **lambda abstractions** define anonymous functions in the  $\lambda$ -calculus.

A lambda abstraction which takes an  $x$  and returns a  $t$  is written as so:

$$(\lambda x . t)$$

## Example

Suppose in mathematics we define a function  $f(x) = x + 2$ . This could be written as  $(\lambda x . x + 2)$  in the  $\lambda$ -calculus<sup>1</sup>. Of course, this function is anonymous and not bound to the name  $f$ .

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<sup>1</sup>Of course, we haven't said that either  $+$  nor  $2$  is valid in lambda calculus yet. We will get to that...

# Functions are First Class

In the  $\lambda$ -calculus, functions are not only first class, they are the only class of objects. In other words, all data in the  $\lambda$ -calculus are represented as functions.

Functions in the  $\lambda$ -calculus may only take one argument, so currying is typically used to write functions with multiple arguments. For example, the function  $f(x, y) = x + y$  might be written anonymously as:

$$(\lambda x. (\lambda y. x + y))$$

Further, function application is left-associative, so  $(fxy)$  means  $((fx)y)$ .

# Free and Bound Variables

The  $\lambda$  operator (which creates lambda abstractions) binds a variable to wherever it occurs in the expression.

- Variables which are bound in an expression are called **bound variables**
- Variables which are not bound in an expression are called **free variables**

## Example

With your learning group, identify the free and bound variables in this expression:

$$(\lambda x. (\lambda y. zy)(zx))$$

# Transformations

- $\alpha$ -conversion:** Allows variables to be renamed to non-colliding names. For example,  $(\lambda x.x)$  is  $\alpha$ -equivalent to  $(\lambda y.y)$ .*
- $\beta$ -reduction:** Allows functions to be applied. For example,  $((\lambda x.x^2)8)$  is  $\beta$ -equivalent to  $64$ .*
- $\eta$ -conversion:** Allows functions with the same external properties to be substituted. For example,  $(\lambda x.(fx))$  is  $\eta$ -equivalent to  $f$  if  $x$  does not appear in  $f$ .*



# Examples

With your learning group, identify the transformation used in each of the following expressions, or state they are not equivalent. Turn in your answers on a sheet of paper with all of your names at the end of class for learning group participation credit for today.

1  $(\lambda x.(\lambda x.x)) \rightarrow (\lambda y.(\lambda y.y))$

2  $(\lambda x.(\lambda x.x)) \rightarrow (\lambda y.(\lambda x.x))$

3  $(\lambda x.(\lambda x.x)) \rightarrow (\lambda y.(\lambda x.y))$

4  $(\lambda x.(\lambda y.x)) \rightarrow (\lambda y.(\lambda y.y))$

5  $((\lambda x.x)(\lambda y.y)) \rightarrow (\lambda y.y)$

6  $(\lambda x.((\lambda y.y)x)) \rightarrow (\lambda y.y)$

# Church Numerals

Since all data in the  $\lambda$ -calculus must be a function, we use a clever convention of functions (called **Church numerals**) to define numbers:

$$0: \lambda f. \lambda x. x$$

$$1: \lambda f. \lambda x. fx$$

$$2: \lambda f. \lambda x. f(fx)$$

$$3: \lambda f. \lambda x. f(f(fx))$$

... and so on. In fact, the successor to any number  $n$  can be written as:

$$\lambda f. \lambda x. f(nfx)$$

## Notice this

Defining numbers as functions in this way allows us to apply a Church numeral  $n$  to a function to get a new function that applies the original function  $n$  times.

# Shorthand Notations

While it's not a defined part of the  $\lambda$ -calculus, we define common shorthands for some features:

- $0, 1, 2, \dots$  are shorthand for their corresponding Church numerals
- $\text{SUCC} = \lambda n. \lambda f. \lambda x. f(nfx)$

## Note

The notation "=" above is not a part of the  $\lambda$ -calculus. I'm using it for saying "is shorthand for".

# Addition and Multiplication

Adding  $m$  to  $n$  can be thought of as taking the successor to  $n$ ,  $m$  times. Using our shorthand SUCC, this can be written as:

$$\text{ADD} = \lambda m. \lambda n. (m \text{ SUCC } n)$$

Similarly, multiplying  $m$  by  $n$  can be thought of as repeating ADD  $n$ ,  $m$  times and then applying it to 0, this can be written as:

$$\text{MULT} = \lambda m. \lambda n. (m(\text{ADD } n)0)$$

# Boolean Logic

We use the following convention for true and false:

$$\text{TRUE} = \lambda x.\lambda y.x$$

$$\text{FALSE} = \lambda x.\lambda y.y \quad (\text{Church numeral zero})$$

From here, we can define some common boolean operators:

$$\text{AND} = \lambda p.\lambda q.pqp$$

$$\text{OR} = \lambda p.\lambda q.ppq$$

$$\text{NOT} = \lambda p.p \text{ FALSE TRUE}$$

$$\text{IF} = \lambda p.\lambda a.\lambda b.pab$$

(returns  $a$  if the predicate is TRUE,  $b$  otherwise)

# Cons Cells

By convention, we will represent a cons cell as a function that applies its argument to the CAR and CDR of the cons cell. This leads to the shorthand:

$$\text{CONS} = \lambda x. \lambda y. \lambda f. fxy$$
$$\text{CAR} = \lambda c. c \text{ TRUE}$$
$$\text{CDR} = \lambda c. c \text{ FALSE}$$
$$\text{NIL} = \lambda x. \text{TRUE}$$

Using this, we can define lists:

$$(\text{CONS } 1 (\text{CONS } 2 (\text{CONS } 3 \text{ NIL})))$$

# Lambda Calculus: Where from Here?

- Subtraction is hard, but doable. Check out the Wikipedia page on Church Numerals for more info.
- For recursion, we need to reference ourselves in a lambda abstraction. This is done using a Y-combinator.
- From there, we can use the  $\lambda$ -calculus to compute the solution to any problem that a Turing machine can.
- More on all of this in CSCI-561 (Theory of Computation).
- Many functional programming languages (e.g., Haskell, Lisp) are just practical implementations of the  $\lambda$ -calculus.

**Monads (not a quiz or exam topic)**



# What is a Monad?

**Monads** are a class of functions that compose other functions together in a certain way. A type with a monadic structure defines what it means to chain operations. A monadic type consists of a type constructor and two operations:

*Return:* Takes a plain value, and uses the constructor to place the value in a monadic container, creating a monadic value.

*Bind:* Does the reverse: takes a monadic container and passes it to the next function.

Remember that silly function in Haskell (`>>=`) that chained IO statements together?

# Monads You Know

In Haskell, when you write a list comprehension:

```
[x * 2 | x <- [1..10], odd x]
```

In Haskell, the do block used for IO:

```
main = do
  putStrLn "What is your name?"
  name <- getLine
  putStrLn $ "Nice to meet you " ++ name
```

# What Good are Monads?

- Monads essentially are a hidden data structure that passes around state for you.
- Many common imperative PL concepts can be defined in terms of a monadic structure, such as random number generators, input/output, variable assignment, ...
- Monads can be created in any language that supports anonymous functions and closures.

From <https://xkcd.com/1957/>:

*CVE-2018-????: Haskell isn't side-effect free after all, the effects are all just concentrated on this one computer in Missouri that nobody has checked in on in a while.*